

Attorney Docket No.: NUA

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Carl Schoeneberger et al.	:
Filed: July 31, 2003	;
For: HIGH AVAILABILITY VOIP	;

**SUBSYSTEM** 

Group Art Unit: 2642

Examiner:

TRANSMITTAL LETTER

162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700

Customer No.: 28960

RECEIVED

MAR 0 9 2004

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Technology Center 2600

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 3 - 2-04

Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 3-2-04

Copied from 09632649 on 10/26/2004



Attorney Docket No.: NUASI-00102

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Carl Schoeneberger et al. Serial No.: 10/632,649 Filed: July 31, 2003 HIGH AVAILABILITY VOIP For:

SUBSYSTEM

Group Art Unit: 2642

Examiner:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700

RECEIVED

MAR 0 9 2004

Technology Center 2600

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publication which may be material to the examination of this application:

- U.S. Patent No. 6,574,218 B1;
- U.S. Patent No. 6,678,265 B1; and
- U.S. Patent No. 2003/0133558 A1.

PATENT Attorney Docket No.: NUASI-00102

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 3-2-04

Thomas B. Haverstock Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 3-2-64 By: Thur S. Russe

Copied from 09632649 on 10/26/2004

- 2 -



PATENT Attorney Docket No.: NUASI-00102

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Carl Schoeneberger et al.

Serial No.: 10/632,649

Filed: July 31, 2003

For: HIGH AVAILABILITY VOIP

SUBSYSTEM

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

20R2121 FM

Group Art Unit: 2642

Examiner:

**CERTIFICATION FOR** 

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37

CFR § 1.97(e)

162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700 **RECEIVED** 

MAR 0 9 2004

Technology Center 2600

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

#### Certification

I hereby certify that the attached three (3) references contained in this information disclosure statement were cited within an International Search Report (ISR) mailed on January 29, 2004. Accordingly, the references contained in the information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).

Attorney Docket No.: <u>NUASI-00102</u>

## **Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 3-2-04

Thomas B. Haverstock Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 3-2-04 By: True A. Rased

Copied from 09632649 on 10/26/2004

- 2 -

Sheet I of I U.S. Department of Commerce Patent and Trademark Office FORM PTO-1449 (Modified) Attorney Docket No.: NUASI-00102 Serial No.: 10/632,649 IRE STATEMENT I Applicants: Carl Schoeneberger et al. INFORMATIO TEMENT BY APPLICANT POPMARK Filing Date: 07/31/03 Group Art Unit: 2642 (37 CFR § 1.98(b)) U.S. PATENT DOCUMENTS Serial / Patent Number Examiner Initials Class Subclass Filing Date Issue Date Applicant / Patentee 370 352 05/25/99 Cooklev 6,574,218 B1 06/03/03 AA 352 12/30/99 ΑB 6,678,265 BI 01/13/04 Kung et al. 370 2003/0133558 12/30/99 07/17/03 Kung et al. 379 215.01 AC ΑD ΑE ΑF MAR 0 9 2004 AG AΗ echnology Center 2600 Αl ΑJ FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS Translation Document Number Subclass **Publication Date** Country / Patent Office Class Yes No ΑK AL AM AN AO OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication) ΑP AQ AR AS ΑT ΑU ΑV AW

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form

Date Considered:

AX AY AZ

with next communication to applicant.

Examiner:

**EXAMINER:**